# Lone Pair-Lone Pair Interactions in Unsymmetrical Systems: RSSR vs. RSOR ${ }^{1}$ 

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#### Abstract

The conformational behavior of the symmetrical disulfide function. RSSR. and the unsymmetrical sulfenate ester moiety. RSOR ( $\mathrm{R}=\mathrm{H} . \mathrm{CH}_{3}$ ). have been compared by means of semiempirical MO calculations. Particular attention has been paid to the $\alpha$-lone pair interactions. The two species are predicted to exhibit comparable equilibrium geometries and to undergo conformational transformation with qualitatively similar energy requirements. Where data are available, agreement with experiment is good to excellent. Comparison at the level of molecular orbital energy levels reveals that further resemblance between the RSSR and RSOR systems is absent. Orbital correlation diagrams for motion through the dihedral angle $\theta$ permit qualitative predictions concerning the relative photoelectron and UV spectra. The latter have been evaluated as a function of conformation in more detail by means of CNDO/S-CI calculations. The first absorption band relationship $\lambda_{\max }($ RSOR $)>$ $\lambda_{\max }($ RSSR $)$, inadequately described by single configuration schemes, is correctly predicted by the fuller treatment. Supplemental computations for three- and four-membered ring disulfides and sulfenate esters extend the results and suggest an interpretative guide for experimental studies now underway.


Current applications of quantum theory describe the interaction between lone electron pairs on adjacent atoms (1)

$R-\ddot{X}-\ddot{Y}-R$
2
in terms of delocalized symmetric ( $\mathrm{n}_{+} / \pi_{+}$) and antisymmetric ( $n_{-} / \pi_{-}$) combinations (Figure 1). The energy gap separating $\mathrm{n}_{-}$and $\mathrm{n}_{+}$is a function of the magnitude of the interaction of the lone pair orbitals. For the simplest case, $\mathrm{H} \ddot{\mathrm{X}} \ddot{\mathrm{X}} \mathrm{H}, \Delta E$ is primarily dependent on the dihedral angle $\theta$ and the bond angle $\phi(=\angle \mathrm{XXH})$.

Experimentally the qualitative picture of Figure 1 has been substantiated by photoelectron spectroscopy (PES). ${ }^{3}$ Compound types as diverse as hydrazines, ${ }^{4}$ peroxides, ${ }^{5}$ disulfides, ${ }^{6}$ diphosphines, ${ }^{7}$ azoalkanes, ${ }^{8}$ and acetylenes ${ }^{9}$ conform to the predicted splitting pattern. Nonadjacent lone electron pairs behave similarly. ${ }^{10}$
In the present work we are concerned with the consequences of $\alpha$-lone pair interaction when symmetry at the $\ddot{\mathrm{X}}-\ddot{\mathrm{X}}$ bond no longer prevails (2). The elimination of symmetry in systems capable of pericyclic transformation appears to alter significantly both the energetics ${ }^{11}$ and the stereochemical course ${ }^{11 \mathrm{~b} .12}$ of such reactions. By extension the conformational dynamics of $\mathbf{2}$ relative to $\mathbf{1}$ might be expected to reveal fundamental differences discernible within the framework of MO theory. For the present study CNDO calculations for the well-known disulfide moiety $\mathbf{3}$ are compared with those for the much less

3
$\mathrm{R}-\mathrm{S}-\mathrm{O}-\mathrm{R}$
4

5
investigated sulfenate ester unit $\mathbf{4}$. This choice has been stimulated in part by our interest in the formation and reactivity of oxathiiranes 5. ${ }^{12 a}$ There is ample evidence that the latter is probably an intermediate in both thermal and photochemical processes. ${ }^{13}$ Furthermore recent experiments are strongly suggestive that while $\mathbf{5}$ is but a transient at room temperature, certain derivatives can be trapped and observed directly at low temperature. ${ }^{14}$

## Results and Discussion

Structure and Conformational Equilibria of RSSR and RSOR. A number of experimental and theoretical studies aimed at elucidating the potential energy surface for disulfides
have appeared. ${ }^{15-17} \ln$ order to test the reliability of CNDO/B as a predictor of both structural and orbital properties for this compound class, and by implication RSOR as well, we have studied the ground states and S-S rotational barriers for $3(\mathrm{R}$ $=\mathrm{H}, \mathrm{CH}_{3}$ ).

The structures of hydrogen persulfide ( $3, \mathrm{R}=\mathrm{H}$ ) and dimethyl disulfide ( $3, \mathrm{R}=\mathrm{CH}_{3}$ ) have been determined by microwave spectroscopy (cf. Table 1). Using Boyd and Whitehead's CNDO parametrization, ${ }^{18}$ several sets of calculations have been carried out for these species. Table 1 lists the predicted structures for the cis, gauche, and trans conformations ( 0,90 , and $180^{\circ}$, respectively). Calculated bond lengths are in excellent agreement with experiment. ${ }^{19}$ However, the RSS bond angles are predicted to be $10-15^{\circ}$ too large. The results of bond length optimization with fixed experimental RSS angles (Table I) also give highly satisfactory agreement with experiment. Dihedral angles for HSSH were optimized with excellent results leading to energy minima around $90^{\circ}$. For both staggered and eclipsed conformations of the $\mathrm{CH}_{3}$ groups of dimethyl disulfide two calculations were carried out for each of the 0,90 , and $180^{\circ}$ angles. For both methyl rotamers the gauche conformation is predicted to have the lowest relative energy.

The predicted barriers to rotation (Table I) in every case imply the trans barrier to be slightly less than or equal to the cis barrier. A variable temperature NMR study for a series of acyclic disulfides led to $\Delta H^{\mp} \leq 7 \mathrm{kcal} / \mathrm{mol}$ for unhindered derivatives. ${ }^{20}$ Remarkable is the conclusion, based on the effect of substituent bulk, that rotation most likely occurs preferentially through the cis conformation. This result has not yet been produced by theory, although the barrier problem has been taken up at all levels of sophistication ranging from extended Hückel to ab initio with extended basis sets (cis: 1.5-45.9 $\mathrm{kcal} / \mathrm{mol}$; trans: $0.8-14.5 \mathrm{kcal} / \mathrm{mol}) .{ }^{15-17}$ The cis $/$ trans energy ratios previously reported and those indicated in Table 1 must be regarded with reservation. Minimum requirements for a reliable estimate of relative rotational barriers are an accurate prediction of ground-state geometry and RSS bending force constants followed by geometry optimization of the less stable rotamers. ${ }^{21}$ While CNDO/B is inadequately parametrized on the first count, previous calculations have ignored one or both of these points and have generally resorted to fixed and common geometries at all values of the dihedral angle. In the present study this problem has not been resolved. We note only that the CNDO/B results for HSSH are qualitatively similar to the ab initio work, ${ }^{17}$ but lead to lower barriers. For

Table I. CNDO/B Optimized Geometries for Conformers of HSSH and Staggered $\mathrm{CH}_{3} \mathrm{SSCH}_{3}{ }^{a}$

| R | $\begin{gathered} \theta \text { (dihed), } \\ \operatorname{deg} \end{gathered}$ | $r_{\text {ss }}, \AA$ | $r_{\text {RS }}, \AA$ | LSSR, deg | $\begin{gathered} \operatorname{Rel} E, \\ \mathrm{kcal} / \mathrm{mol} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| H | 0 | 2.052 | 1.333 | 108.8 | 1.7 |
|  |  | 2.079 | 1.337 | 91.3 | 1.8 |
|  | 88.7 | 2.043 | 1.336 | 107.9 | 0 |
|  | 92.2 | 2.069 | 1.340 | 91.3 | 0 |
|  | $(90.5)^{b}$ | (2.055) | (1.327) | (91.3) |  |
|  | 180 | 2.055 | 1.333 | 106.2 | 1.5 |
|  |  | 2.074 | 1.339 | 91.3 | 0.52 |
| $\mathrm{CH}_{3}$ | 0 | 2.084 | 1.788 | 112.2 | 1.1 |
|  |  | 2.115 | 1.811 | 102.8 | 5.5 |
|  | 90 | 2.076 | 1.792 | 112.3 | 0 |
|  |  | 2.100 | 1.807 | 102.8 | 0 |
|  | (84.7) ${ }^{\text {c }}$ | (2.038) | (1.810) | (102.8) |  |
|  | $(83.9)^{d}$ | (2.022) | (1.806) | (104.1) |  |
|  | 180 | 2.084 | 1.788 | 111.5 | 1.1 |
|  |  | 2.106 | 1.811 | 102.8 | 4.0 |

${ }^{a}$ The methyl groups were assumed to be tetrahedral and staggered ${ }^{19}$ with respect to the S-S bond. For all conformations $r_{\mathrm{CH}}=1.09 \AA$. The first calculation listed for a given dihedral angle represents the fully optimized structure; the second utilized fixed bond angles (i.e., H , $\angle \mathrm{SSH}=91.3^{\circ} ; \mathrm{CH}_{3}, \angle \mathrm{SSC}=102.8^{\circ}$ ). Parenthetical values are experimental. ${ }^{b}$ Reference $56 .{ }^{c}$ Reference 19 a . ${ }^{d}$ Reference 19 b.


Figure 1. The interaction of identical, adjacent $\pi$-type lone electron pairs to give symmetric ( $\pi_{+}$) and antisymmetric ( $\pi_{-}$) delocalized molecular orbitals. The splitting, $\Delta E$, is primarily due to the $\mathrm{X}-\mathrm{X}$ distance and the RXXR dihedral angle.
$\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ with the experimental CSS angle, our calculation reproduces measured disulfide barriers with a remarkable accuracy unmatched by other semiempirical schemes.

Finally a plot of CNDO/B eigenvalues ( $\epsilon$ ) surrounding the frontier orbital gap as a function of dihedral angle $(\theta)$ is depicted in Figure 2 for the HSSH cis to trans transformation. Orbital shapes are sketched for the latter conformational extremes. Except for minor differences in the relative energies of certain MO's between 0 and $180^{\circ}$, the orbital correlation diagram is essentially superimposable with that calculated by EH, CNDO/2, and ab initio methods. ${ }^{16 a, b}$ The corresponding $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ plot is similar and likewise in qualitative agreement with the results of previous studies. ${ }^{6,15 a-c, 16}$

We conclude our discussion of the overall dynamics of the disulfide moiety by noting that with fixed experimental RSS bond angles, every important structural characteristic is reproduced quantitatively. Furthermore, the energetic behavior of the computed MO's as a function of conformation about the S-S bond assures qualitative representation of UV transitions as well. ${ }^{16}$

While disulfides may be synthesized by a wide variety of methods, sulfenic acid esters (RSOR') have been generally prepared by the action of alcohols and alkoxides on sulfenyl halides. ${ }^{22}$ The reversibility of the reaction and the sensitivity of the sulfenates to hydrolysis and disproportionation ${ }^{23}$ have


Figure 2. CNDO/B orbital correlation diagram for the interconversion of cis and trans forms of HSSH by rotation around the S-S bond. The shapes of the end point molecular orbitals ( 0 and $180^{\circ}$ ) are indicated by circles, the diameters of which are proportional to the square of the atomic orbital contributions at a given center. The MO's are labeled within the $C_{2}$ point group in accord with the dynamics of the cis/trans isomerization (cf. Figure 5).
limited the utility of the method by and large to aromatic derivatives. ${ }^{24}$ A promising route employs $N$-tert-butylthiophthalimide as starting material for a series of tert-butylalkylsulfenate esters. ${ }^{22 c, 26}$ A single heavily-substituted cyclic case is known. ${ }^{27}$ As yet, however, not only is the chemistry of the sulfenate function relatively undeveloped, but very little spectroscopic or structural information has been gathered. An exception is the $o$-nitrobenzenesulfenate (6), the x -ray struc-


Table II. CNDO/B Optimized Geometries for Conformers of HSOH and Staggered $\mathrm{CH}_{3} \mathrm{SOCH}_{3}{ }^{a}$

| R | $\begin{gathered} \theta \text { (dihed), } \\ \text { deg } \end{gathered}$ | $r_{\text {so }}$, $\AA$ | $r_{\text {RS }}$, $\AA$ | $r_{\text {Ro }}, \AA$ | LOSR, deg | $\angle \mathrm{SOR}, \mathrm{deg}$ | Rel $E$, kcal/mol |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| H | 0 | 1.618 | 1.338 | 0.963 | 104.2 | 121.4 | 1.0 |
|  |  | 1.618 | 1.338 | 0.963 | 100.0 | 113.0 | 1.2 |
|  | 92.5 | 1.609 | 1.338 | 0.963 | 102.2 | 120.9 | 0 |
|  | 102.0 | 1.615 | 1.338 | 0.965 | 100.0 | 113.0 | 0.50 |
|  | 180 | 1.618 | 1.337 | 0.964 | 100.3 | 118.7 |  |
|  |  | 1.618 | 1.337 | 0.964 | 100.0 | 113.0 | 0.24 |
| $\mathrm{CH}_{3}$ | 0 | 1.665 | 1.809 | 1.304 | 110.4 | 114.8 | $\begin{array}{ll}2.6 & 0.24 \\ & 11.4\end{array}$ |
|  |  | 1.665 | 1.809 | 1.450 | 100.0 | 113.0 |  |
|  | 90 | 1.646 | 1.788 | 1.306 | 104.7 | 114.2 | 0 |
|  |  | 1.646 | 1.789 | 1.450 | 100.0 | 113.0 | 0 |
|  | 180 | 1.648 | 1.804 | 1.305 | 108.5 | 113.6 | 0.30 5.3 |
|  |  | 1.648 | 1.804 | 1.450 | 100.0 | 113.0 |  |

${ }^{a}$ The methyl groups were assumed to be tetrahedral and staggered with respect to the $\mathrm{S}-\mathrm{O}$ bond. For all conformations $r_{\mathrm{CH}}=1.09 \AA$ and $r_{\mathrm{CO}}=1.450 \AA$. The first calculation listed for a given dihedral angle represents the otherwise fully optimized structure; the second utilized fixed bond angles (i.e., $\angle \mathrm{OSH}=100.0^{\circ}, \angle \mathrm{SOH}=\angle \mathrm{SOC}=113.0^{\circ}$ ).
ture of which has been interpreted as reflecting an unusual nonbonding attractive interaction between nitro-oxygen and sulfur. 28

CNDO/B predictions for HSOH and $\mathrm{CH}_{3} \mathrm{SOCH}_{3}$ structures are presented in Table II. As in the case for the disulfide calculations, the greatest discrepancies appear to be in the HSO and CSO angles, which are overestimated by as much as $10^{\circ}$. The $\mathrm{C}-\mathrm{O}$ bond length is correspondingly underestimated. Although no dihedral angle was reported for 6 , the $\mathrm{CH}_{3}$ is the single atomic grouping found out-of-plane. The calculations for both optimized and optimal geometries predict the gauche form to be the ground state conformation. Analogous to the theoretical disulfide potential surface, interconversion is suggested to occur preferentially over a trans barrier. While the latter is of the same order of magnitude as for RSSR, it appears to be significantly less than the corresponding cis pathway by comparison (cf. Tables I and II). The orbital correlation diagram for rotation about the $\mathrm{S}-\mathrm{O}$ bond in HSOH is depicted in Figure 3. The $\mathrm{CH}_{3} \mathrm{SOCH}_{3}$ curves are identical in terms of the overall behavior of the $n$ and $\pi$ MO's.

RSSR and RSOR Correlation Diagrams. There are several striking differences in the behavior of $n$ and $\pi$ high-lying MO's of RSSR and RSOR as a function of dihedral angle as predicted by the semiempirical calculation. For the disulfide link these come in pairs, namely $\pi_{-} / n_{-}$(cis) correlates with $n_{+} / \pi_{-}$ (trans) and $\pi_{+} / n_{+}$(cis) with $n_{-} / \pi_{+}$(trans). ${ }^{29}$ The interchange of $\pi$ and $n$ is absent for the sulfenate system, each MO connecting with its counterpart in the conformational isomer.

Qualitatively the variation can be described by considering first the crossing of the disulfide upper levels. For the $\pi_{-}$ HOMO, the calculated rotation about $\mathrm{S}-\mathrm{S}$ from the cis or trans to the gauche conformation is accompanied by three significant changes (Figure 4): (i) contributions from H or C either grow-in or increase, respectively, leading to increased S-R $\sigma$-bond character; ${ }^{30}$ (ii) the pure sulfur $p$ atomic orbital components of $\pi$ - in the planar cis or trans rotamers are rehybridized by mixing with the sulfur 3 s AO ; and (iii) rotation of the planar forms reduces the antibonding character of $\pi_{-}{ }^{16,30 \mathrm{~b}}$ Each of these variations in electron distribution contributes to an energy lowering for the latter. Just the opposite series of events occurs simultaneously for the n MO's with concomitant energy increase. The stabilizing redistribution of electrons in $\pi_{-}$is offset by a corresponding sacrifice in $n-$. Thus the behavior of n and $\pi$ across the potential energy surface is compensatory and near $90^{\circ}$ produces a degenerate MO pair. As we shall see below, this result is a direct consequence of the $C_{2}$ symmetry of the motion from cis to trans.

For the sulfenate ester there is likewise a set of changes as-


Figure 3. CNDO/B orbital correlation diagram for the cis/trans isomerization of HSOH. The shapes of the end point molecular orbitals ( 0 and $180^{\circ}$ ) are given by circles, the diameters of which are proportional to the square of the atomic orbital contributions at a given center.

sociated with rotation around the $\mathrm{S}-\mathrm{O}$ bond. Consider the $\pi_{-}$ MO (Figure 4). For both cis and trans end points the latter is dominated by sulfur. Rotation increases the sulfur contribution to a maximum between dihedral angles of 60 and $90^{\circ}$. Accordingly the extent to which the electronegative oxygen atom participates is reduced. The $\pi_{-}$energy should therefore rise. There is, however, a counterbalancing change, namely the development of $\mathrm{O}-\mathrm{H} \sigma$-bond character. The latter effectively counters the former, leading to an overall near zero energy result.

An identical but opposite outcome obtains for the sulfur $n$ orbitals of RSOR. Upon rotation from either the cis or the trans form the oxygen atom increases its contribution to the n MO while the $\sigma \mathrm{OH}$ and $\sigma \mathrm{SH}$ bonding falls. The net result is again an energy change near zero. Unlike the disulfide system where bonding changes within an n or a $\pi \mathrm{MO}$ are balanced by a second partner MO, the corresponding sulfenate orbitals are capable of internal compensation, leading to the



$60^{\circ}$

$90^{\circ}$

$120^{\circ}$

$150^{\circ}$

$180^{\circ}$



Figure 4. $\pi_{-}$HOMO population analysis for the stepwise transformation of HSSH and HSOH from the cis-planar to the trans-planar conformation. The circle diameters are proportional to the square of the contributing atomic orbital coefficients (CNDO/B).
correlation pictured in Figure 3. The situation arises because of the lack of molecular symmetry, which permits new bonding relationships across the potential energy surface for RSOR unavailable to the symmetric RSSR structure.

The basis for the orbital variations described above can be illuminated by considering the perturbations experienced by $\mathrm{R}-\mathrm{S}$ bonded to a second S-R at various values of the dihedral angle. Figure 5 a shows the energies of the $\mathrm{ps}_{\mathrm{S}}$ and $\mathrm{n}_{\mathrm{S}}$ orbitals for a hypothetical cis-HSSH in which the $\alpha$-lone pairs do not interact. The calculated energies were obtained by setting the lone pair resonance integrals ( $\beta_{\mathrm{S}_{1} \mathrm{~S}_{2}}$ ) to zero as prescribed by Baird. ${ }^{31}$ When lone pair-lone pair interaction is permitted, the $\pi\left(1 b_{1}\right.$ and $\left.1 a_{2}\right)$ and $n\left(2 a_{1}\right.$ and $\left.2 b_{2}\right)$ MO's are constituted as symmetric and antisymmetric pairs in the usual way (i.e., Figures 1 and 5 b ), $\pi_{+}$falling below $\mathrm{n}_{-}$. As rotation sets in, the orthogonality of the $\pi$ and $\sigma$ MO's in the planar disulfide is eliminated as HSSH symmetry is reduced from $C_{2 v}$ (cis) or $C_{2 h}$ (trans) to $C_{2}$. Consequently the $\mathrm{n}_{-}$and $\pi_{+}$orbitals of b symmetry interact until a maximum separation is reached at the crossing point around $90^{\circ}$ (Figure 5, $\mathrm{b} \rightarrow \mathrm{c}$ ). Concomitant with the changes in energy, $n_{-}$mixes-in $\pi$ character while $\pi_{+}$ blends in $\sigma$ character in accord with the well-established principles of perturbation theory. ${ }^{32}$

A similar "repulsion" of the well-separated $\pi_{-}$and $n_{+}$MO's of a symmetry is precluded by their great difference in energy. To a first approximation, however, rotation away from $0^{\circ}$ reduces the overlap between AO components on adjacent sulfur atoms. Thus the antibonding and bonding character of $\pi_{-}$and $\mathrm{n}_{+}$is diminished, leading to a decrease and an increase in energy, respectively. Superimposed on these deformations are the energy-complementary alterations in MO composition described above in i and ii. The sum of the perturbations arising from the variation in mutual orientation of the $\mathrm{R}-\mathrm{S}$ fragments gives rise to the double degeneracy around $90^{\circ}$ depicted in


Figure 5. PMO analysis of the MO manifold for HSSH conformations: ( $a$ and $a^{\prime}$ ) the isolated lone pair components; (b) interaction of $p\left(S_{1}\right) / p\left(S_{2}\right)$ and $n\left(S_{1}\right) / n\left(S_{2}\right)$ in the cis $\left(0^{\circ}\right)$ conformation; (c) MO's for the gauche $\left(90^{\circ}\right)$ conformation; (d) interaction of the component p and n AO's in the gauche form.


Figure 6. PMO analysis of the MO manifold for HSOH conformations: (a) a and $\mathrm{a}^{\prime}$ are the isolated lone pair components; (b) interaction of $\mathrm{p}(\mathrm{S}) / \mathrm{p}(\mathrm{O})$ and $\mathrm{n}(\mathrm{S}) / \mathrm{n}(\mathrm{O})$ in the cis $\left(0^{\circ}\right)$ conformation; (c) MO's for the gauche $\left(90^{\circ}\right)$ conformation; (d) interaction of the component $p$ and $n A O$ 's in the gauche form.

Figure 2. At this value of $\theta, \pi, \sigma$ and lone pair contributions are no longer distinguishable. We therefore label them simply $n_{p}$ in the discussion to follow.

The same crossing-point result proceeds from the dissection of HSSH interactions in the gauche conformation. The hypothetically isolated lone pairs (Figure $5 \mathrm{a}^{\prime}$ ) interact weakly because of unfavorable AO orientation to give the pair-wise level ordering shown in Figure 5d. Final interaction between MO's of symmetries a and b leads to the $\theta \approx 90^{\circ}$ result ( $\mathrm{d} \rightarrow$ c). ${ }^{29}$

The parallel analysis of rotation about the $\mathrm{S}-\mathrm{O}$ bond in the sulfenate system is shown in Figure 6. Electronegativity differences between oxygen and sulfur are strongly reflected in the calculated ionization potentials for cis- HSOH in which the adjacent lone pairs are prevented from interacting ( $\beta_{\mathrm{OS}}=$ 0 , a). The complete calculation yields a level ordering (b) in which the high-lying MO's ( $\pi_{-}$and $n_{-}$) are dominated by sulfur, while $\pi_{+}$and $n_{+}$are composed largely of contributions from oxygen (cf. Figure 3). As is the case for the disulfide moiety, $\mathrm{n}_{-}(\mathrm{S})$ lies above $\pi_{+}(\mathrm{O})$. However relative to HSSH, the energy gap has increased by over $100 \%$ from 1.1 to 2.4 eV . Consequently upon rotation through values $0^{\circ}<\theta<90^{\circ}$, these MO's couple only weakly as shown in Figure 6, b $\rightarrow$ c. The constancy of $\pi_{-}(\mathrm{S})$ is rationalized by the opposite but balancing influences of less $\mathrm{S}-\mathrm{O} \pi$ antibonding and the relatively effective mixing-in of $\sigma \mathrm{OH}$ character. The latter occurs in turn because the low-lying oxygen AO's are energetically welldisposed for blending with the hydrogen 1s species.

The construction of MO's for gauche-HSOH is shown on

Table III. Spectra (UV, PE), Geometries, and Predicted Diliedral Angles for Selected Disulfides

${ }^{a}$ Reference 6a, ${ }^{b}$ Reference 6b, ${ }^{c}$ Reference 6c. ${ }^{d}$ Reference $6 \mathrm{~d} . e$ Reference $56 . f_{\text {Reference }} 19 \mathrm{a} . g$ Reference 19 b . ${ }^{h} \mathrm{O}$. Foss, K. Jolinson, and T. Reistad, Acta Chem. Scand., 18, 2345 (1964). ${ }^{i}$ I. L. Karle, J. A. Estlin, and K. Britts, Acta Crystallogr., 22. 567 (1967); cf. O. Foss and O. Tjomsland, Acta Chem. Scand., 12, 1810 (1958). IJ. Fridriclisons and A. McL. Mathieson, Acta Crystallogr., 23, 439 (1967). $k$ Reference 16 a.
 nan, S. D. Thompson, and S. P. McGlynn, Photochem. Photobiol., 4, 907 (1965). ${ }^{r}$ References 45 and 46.s A. F. Beecliam and A. McL. Mathieson, Tetrahedron Lett., 3139 (1966). ${ }^{\text {t }}$ C. W. N. Cumper, J. F. Read, and A. I. Vogel, J. Chem. Soc. A, 239 (1966).
the right side of Figure $6\left(\mathrm{a}^{\prime} \rightarrow \mathrm{d} \rightarrow \mathrm{c}\right)$ and follows directly from the above discussion. The wavy lines indicate that $n_{-}$and $\pi_{+}$are capable of interacting with both $\pi_{-}$and $n_{+}$to give the final orbital set.

Photoelectron Spectra. The correlation diagram of Figure 2 in accord with previously reported MO diagrams ${ }^{6 a, 15 a-c, 16}$ qualitatively rationalizes a number of disulfide photoelectron spectroscopy (PES) studies. ${ }^{6}$ In all cases an unambiguous inverse relationship between $\triangle I E$ for the two lowest ionization potentials and $\theta$ is observed. The source of the $\Delta I E / \theta$ dependence and the regular increase of $I E_{1}$ with increasing $\theta\left(0-90^{\circ}\right)$ has been attributed to the $3 b-4 a$ splitting pictured in Figure 2. By plotting $\Delta I E$ vs. the dihedral angle derived from microwave, electron diffraction, or x-ray determinations, a smooth curve is obtained which permits dihedral angles to be inferred from the PES spectra. ${ }^{6 \mathrm{~d}}$ Unfortunately direct measurement of $\theta$ spans only the range $8-91^{\circ}$ (cf. Table III). PES in conjunction with MO calculations has, however, been used to conclude that $\theta$ for $t$-BuSS- $t$ - Bu is $97^{6 \mathrm{~b} .33}$ and ca. $110^{\circ} .{ }^{6 \mathrm{a}}$ The former value is based on a simple MO model and agrees with an estimate from dipole moment data, ${ }^{34}$ whereas the latter arises from an EH correlation diagram for $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$. The corresponding angles from $\mathrm{CNDO} / \mathrm{S}^{35}$ and $\mathrm{CNDO} / \mathrm{B}$ calculations of the potential energy surface for rotation about the S-S bond of dimethyl disulfide are 110 and $121^{\circ}$, respectively. Columns 4-6 in Table III indicate the relative abilities of these three semiempirical schemes to predict dialkyl disulfide dihedral angle from PES data. Although detailed structures are few, CNDO/S gives the most consistent quantitative result. Like all other semiempirical parametrizations, however, the first and second ionization potentials are overestimated ${ }^{16 \mathrm{~b}}$ ( 10.8 and 11.0 eV , respectively; $\mathrm{CH}_{3} \mathrm{SSCH}_{3}\left(\theta=81^{\circ}\right.$; CNDO/S, cf. Table III)).

No PES investigations have yet been reported for sulfenate esters 4. Both CNDO/B and CNDO/S agree on two important points for these substances relative to disulfides. For an
increase in the dimethyl disulfide dihedral angle from 30 to $90^{\circ}$, the $\mathrm{IE}_{1}-\mathrm{IE}_{2}$ split is predicted to fall by $1.2(\mathrm{CNDO} / \mathrm{B})$ and $1.9 \mathrm{eV}(\mathrm{CNDO} / \mathrm{S})$. This is to be compared with ca. 1.5 eV derived experimentally (cf. Table III). The corresponding values for $\mathrm{CH}_{3} \mathrm{SOCH}_{3}$ are 0.1 and 0.7 eV , respectively. Secondly, whereas the first ionization potential ( $\mathrm{IE}_{1}$ ) for disulfides increases by ca. 1 eV for $\theta$ from 30 to $90^{\circ}$, both semiempirical parametrizations suggest a constant $\mathrm{IE}_{1}$ for the SO rotamers ( $\Delta \mathrm{IE}_{1}=0.0$ and 0.1 eV , respectively). Thus while the calculated values are probably somewhat low, $I E_{1}$ and $I E_{1}-I E_{2}$ are predicted to be much less sensitive to the dihedral angle for sulfenates than for disulfides in accord with the qualitative picture outlined in Figures 2 and 3. A recent measurement ${ }^{7}$ for the isoelectronic $\mathrm{P}-\mathrm{N}$ bond of $\left(\left(\mathrm{CH}_{3}\right)_{2} \mathrm{~N}\right)_{3} \mathrm{P}$ suggests that phosphorus-nitrogen interaction leads to a perturbation of the order of only $0.3-0.4 \mathrm{eV}$, in agreement with our speculations.

UItraviolet Spectra. Boyd has tabulated disulfide derivatives in which $\theta$ varies from $\sim 0$ to $\sim 125^{\circ}$ and performed both an EHMO and a CNDO/2 analysis in the virtual orbital approximation, which accounts remarkably well for the dependence of the UV spectra on the dihedral angle. ${ }^{16}$ Within the EH model, for example, the two overlapping long-wavelength transitions ( 250 nm ) for gauche- $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ and -HSSH have been interpreted as arising from excitation of the highest-lying filled MO's (4a, 3b; Figure 2) to the lowest virtual MO (4b); i.e., $\mathrm{n}_{\mathrm{p}} \rightarrow \sigma^{*}(\mathrm{H} \rightarrow \mathrm{L}$ and $\mathrm{H}-1 \rightarrow \mathrm{~L}) .{ }^{36}$ As the dihedral angle is reduced from 90 to $0^{\circ}$ the first transition is predicted to red shift and to lose intensity, in agreement with observation.

CNDO-CI calculations have likewise been carried out for $\mathrm{HSSH}^{15 \mathrm{~b} . \mathrm{c}}$ and $\mathrm{CH}_{3} \mathrm{SSCH}_{3} .{ }^{15 \mathrm{c}}$ Both EH and CNDO-CI ${ }^{15 \mathrm{c}}$ include sulfur 3d orbitals and suggest a high to predominant proportion of $d$ character in the lowest energy virtual MO's. The SCF-CI treatment confirms the EH-generated conformational dependence of the first UV transition, but in addition provides a qualitatively more satisfying description for the

Table IV. Calculated Electronic Spectra of Dimetliyl Disulfide as a Function of Dihedral Angle ( $\lambda_{\max }$, nm); CNDO/S-CI ${ }^{a, b}$
Dilledral angle, $\theta$, deg


[^0] $\mathrm{L}+6\left(3 \mathrm{~d}(97-98 \%)\right.$ ). The percentage compositions change by no more than a few points from 0 to $180^{\circ}$.

Table V. Calculated Electronic Spectra of Dimetlyyl Sulfenate as a Function of Dilhedral Angle ( $\lambda_{\max }, \mathrm{nm}$ ); CNDO/S-CI $a, b$

| Dilledral Angle, $\theta$, deg |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 30 | 60 | 90 | 120 | 150 | 180 |
| 329 | 323 | 315 | 313 | 314 | 324 | 327 |
| H $\rightarrow$ L (0.99) | $\mathrm{H} \rightarrow \mathrm{L}(0.99)$ | $\mathrm{H} \rightarrow \mathrm{L}(0.99)$ | $\mathrm{H} \rightarrow \mathrm{L}(0.99)$ | $\mathrm{H} \rightarrow \mathrm{L}$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}(0.99)$ | $\mathrm{H} \rightarrow \mathrm{L}$ (0.99) |
| 244 | 241 | 237 | 236 | 236 | 240 | 241 |
| $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.98) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.98) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.98) | $\mathrm{H} \rightarrow \mathrm{L}+1$ (0.98) |
| 194 | 193 | 191 | 191 | 192 | 194 | 195 |
| H $\rightarrow$ L + 2 (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+2$ (0.99) |
| 186 | 186 | 184 | 189 | 185 | 187 | 187 |
| $\mathrm{H} \rightarrow \mathrm{L}+3$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+3$ (0.99) | $\begin{aligned} \mathrm{H}-1 & \rightarrow \mathrm{~L}(0.68) \\ \mathrm{H} & \rightarrow \mathrm{~L}+3(0.28) \end{aligned}$ | $\mathrm{H}-1 \rightarrow \mathbf{L}(0.96)$ | $\begin{aligned} \mathrm{H}-1 & \rightarrow \mathrm{~L}(0.70) \\ \mathrm{H} & \rightarrow \mathrm{~L}+3(0.26) \end{aligned}$ | $\mathrm{H} \rightarrow \mathrm{L}+3$ (0.99) | $\mathrm{H} \rightarrow \mathrm{L}+3$ (0.99) |

${ }^{a}$ The CI state composition is given in parentleses beneatly eaclı $\lambda_{\text {max }}$; for orbital nomenclature, see ref $36 .{ }^{b}$ The MO electron distributions for the $90^{\circ}$ conformer are as follows: $\mathrm{H}-1\left(\mathrm{~S}_{\mathrm{p}}-\mathrm{O}_{\mathrm{p}}(20 / 58 \%)\right.$ ), $\mathrm{H}\left(\mathrm{S}_{\mathrm{p}}-\mathrm{O}_{\mathrm{p}}(89 / 3 \%)\right.$, $\mathrm{L}\left(\mathrm{S}-\mathrm{O} \sigma^{*}(40 \%) / 3 \mathrm{~d}(56 \%)\right), \mathrm{L}+1\left(\mathrm{~S}-\mathrm{C} \sigma^{*}(28 \%) /\right.$ $3 \mathrm{~d}(68 \%)), \mathrm{L}+2, \mathrm{~L}+3(3 \mathrm{~d}(99 \%))$. The percentage compositions clange by no more than a few points from 0 to $180^{\circ}$.

Table VI. The First UV Absorption Band for Comparable Acyclic Disulfides and Sulfenate Esters, $\lambda_{\max }(\epsilon)$

|  | X |  |
| :--- | :--- | :--- |
|  | S | O |
| $t$-BuSXC |  |  |
| $\mathrm{CCl}_{3} \mathrm{SX}_{5}-t-\mathrm{Bu}$ | $242(450)^{a, b}$ | $266(71)^{c}$ |
| $\mathrm{CCl}_{3} \mathrm{SXC}_{2} \mathrm{H}_{5}$ | $231(2600)^{a}$ | $277(390)^{d}$ |
| $\mathrm{~F}_{3} \mathrm{CSXC}_{2} \mathrm{H}_{5}$ | $230(2700)^{a}$ | $2755^{c, e}$ |

[^1]higher energy absorption bands. In particular the calculations of Richardson et al. ${ }^{15 c}$ mimic circular dichroism studies which indicate the second transition ( $250 \mathrm{~nm}, \theta \sim 90^{\circ}$ ) to blue shift from 90 to $60^{\circ}$ and then red shift at still smaller angles. The short-wavelength absorption around $205-210 \mathrm{~nm}\left(90^{\circ}\right)$ is characterized as a pair of overlapping bands of the $n_{p} \rightarrow S-R$ $\sigma^{*}$ type with considerable admixture of the 3 d 's. Finally the intense high energy $196-\mathrm{nm}$ transition $\left(90^{\circ}\right)$ is described as S-S $\sigma \rightarrow$ S-S $\sigma^{*}{ }^{37}$

In order to consistently compare the disulfide and sulfenate ester functions, the conformational dependence of dimethyl derivatives has been investigated by the CNDO/S procedure. ${ }^{35 \mathrm{~b}}$ This parametrization includes sulfur 3d orbitals and is designed specifically for the calculation of UV spectra of sulfur-containing compounds. Qualitatively the results for $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ (Table IV) agree in every essential detail with the Richardson et al. study. ${ }^{15 \mathrm{c}}$ The single exception is the fifth transition ( 196 nm , expt ${ }^{38}$ ) which CNDO/S posits is $\mathrm{n}_{\mathrm{p}} \rightarrow$ $\mathrm{S}-\mathrm{C} \sigma^{*}$. Quantitatively CNDO/S-CI is superior to all previously employed computational strategies in its ability to accurately reproduce experimental wavelengths without the expediency of scaling factors.

The calculated spectroscopic behavior of dimethyl sulfenate is presented in Table V . There are some significant differences between these results and those for dimethyl disulfide (Table IV). Firstly, in qualitative accord with the correlation diagrams of Figures 2 and 3, there is a very much less pronounced dependence of the longest wavelength band on the dihedral angle. Thus whereas CNDO/S predicts an increase of $50 \mathrm{~nm}\left(90^{\circ}\right.$ $\rightarrow 0^{\circ}$ ) for the $\mathrm{n}_{\mathrm{p}} \rightarrow \sigma^{*}(\mathrm{H} \rightarrow \mathrm{L}) \lambda_{\max }$ of $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$, the corresponding spread for $\mathrm{CH}_{3} \mathrm{SOCH}_{3}$ is only 16 nm . Secondly, the calculated spectrum for gauche-dimethyl sulfenate contains only a single excitation for the first absorption peak (313
nm ) well separated from the next highest band. Thirdly, for any given dihedral angle, the sulfenate ester is predicted to show a lower energy long-wavelength transition relative to the disulfide. Finally the sulfenate transitions are nearly pure and thus simulated by single electron excitations (Table V). Analogous to $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ the first ( 313 nm ) and second (236 nm ) transitions for the gauche conformation correspond to $n_{p}$ $\rightarrow \mathrm{S}-\mathrm{O} \sigma^{*} / 3 \mathrm{~d}$ and $\mathrm{n}_{\mathrm{p}} \rightarrow \mathrm{S}-\mathrm{C} \sigma^{*} / 3 \mathrm{~d}$ promotions, the 3 d contribution amounting to 56 and $68 \%$, respectively. The highenergy bands predicted at 191 and 189 nm are $\mathrm{n}_{\mathrm{p}} \rightarrow 3 \mathrm{~d}$ and $\mathrm{n}_{\mathrm{p}}$ $\rightarrow \sigma^{*} / 3 \mathrm{~d}$ ( $56 \%$ ), respectively.

UV data for the sulfenate moiety is scarce. Some acylic derivatives for which spectra have been reported are listed in Table VI. The $\lambda_{\text {max }}$ 's fall $20-50 \mathrm{~nm}$ below that calculated for the model dimethyl sulfenate cases. If the excellent agreement with experiment found for dimethyl disulfide carries over here, there may be two reasons for the discrepancy. The molecular structure of acyclic sulfenate esters is unknown. Thus we have been forced to take our geometric parameters for the calculations from the $x$-ray data of the half-aryl case 6 . More important, perhaps, two of the sulfenates in Table VI bear chlorine and fluorine atoms on carbon $\alpha$ to sulfur. Strongly electronegative substituents on disulfides are known to cause a hypsochromic shift. Bistrifluoromethyl disulfide, for example, exhibits its long-wavelength maximum at $237 \mathrm{~nm}(\epsilon 360)^{39} \mathrm{vs}$. 250 nm for $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ (Table III).

In spite of the paucity of data there are four sulfenate/disulfide pairs which can be compared (Table VI), and in all cases the former absorbs at lower energy. The comparison is not rigorously valid, since the shorter $\mathrm{S}-\mathrm{O}$ bond might provoke steric repulsion between the R groups leading to opened dihedral angles. Nonetheless the agreement with theoretical prediction is striking and is indicative of the importance of employing a calculational procedure which includes CI-SCF when comparing the electronic spectra of symmetrical and unsymmetrical systems. In the present case reliance on the single configuration treatment would have led to the prediction that sulfenate esters ought to absorb at shorter $\lambda_{\max }$ than disulfides.

The CNDO/S frontier orbital energy gap for $-\mathrm{S}-\mathrm{O}$ - is computed to be from 0.4 to 0.9 eV greater than for the corresponding -S-S- for all structures considered in the present work. The single exception is $\mathrm{CH}_{3} \mathrm{SXCH}_{3}\left(\theta=90^{\circ}\right)$ where the gap is identical for $\mathrm{X}=\mathrm{S}$ and O . $\mathrm{CNDO} / \mathrm{B}$ yields a similar result (cf. Figure 10). The source of the apparent discrepancy between $\Delta E(\mathrm{H}-\mathrm{L})$ and the predicted spectra could lie in the CI treatment, which reduces the energies for lower excited states. The transition energies are thus calculated to be less than those estimated by considering only the MO's of the unmixed ground-state configuration. Clearly the decrease af-


Figure 7. Calculated (CNDO/S) electronic variations of the RSXR system ( $\mathrm{X}=\mathrm{S} . \mathrm{O}$ ) as a function of bond angle $\phi:$ (a) $\Delta E$ (HOMO-LUMO) of planar HSXH: ( $b$ and $c$ ) the longest wavelength UV absorption for planar HSXH and $\mathrm{CH}_{3} \mathrm{SXCH}_{3}\left(\theta=90\right.$ and $\left.110^{\circ}\right)$, respectively.
forded by Cl is related to the extent of configuration mixing. Tables IV and V illustrate that improvement in the excitedstate energies in this way is much more important for the disulfide system than for the sulfenate esters. The inclusion of Cl , in fact, counters the computed $\mathrm{SO} / \mathrm{SS} \lambda_{\text {max }}$ relationship.

An alternate source of the $\Delta E(\mathrm{H}-\mathrm{L})-\lambda_{\max }$ dichotomy is found in expression 1 for the energy required to promote an electron from orbital $k$ to the virtual orbital 1 via a singletsinglet transition. ${ }^{35 \mathrm{a} .40}$ The symbols $\epsilon_{\mathrm{k}}$ and $\epsilon_{\mathrm{l}}$ are the energies of the initial and final orbitals, and $J_{\mathrm{k} l^{\prime}}$ and $K_{\mathrm{kl}}$ are the molecular Coulomb and exchange integrals. ${ }^{41}$

$$
\begin{equation*}
\Delta E=\epsilon_{\mathrm{l}^{\prime}}-\epsilon_{\mathrm{k}}-J_{\mathrm{kl}^{\prime}}+2 K_{\mathrm{kl}^{\prime}} \tag{1}
\end{equation*}
$$

According to eq 1 the deviation of the transition energies from $\Delta E(\mathrm{H}-\mathrm{L})$ depends on the numerical values of the Coulomb and the exchange integrals. The latter are generally much smaller than the former and can to a first approximation be ignored. ${ }^{42}$ Thus we are led to the result that the low-energy, long-wavelength transition predicted and found for the sulfenate esters arises because of a sizable Coulomb integral, $J_{\mathrm{kl}}$, relative to analogous disulfides. $J_{\mathrm{kl}}$ can be regarded as reflecting the mean repulsion between electrons occupying orbitals $\psi_{\mathrm{k}}$ and $\psi_{1}$. The MO's involved in the transitions in question are those which contain largely 3 p and 3 d components from sulfur and $2 p$ contributions from oxygen. Since the latter are much less diffuse than the former, electron repulsion is expected to be greatest in the oxygen-substituted system; i.e., $J_{\mathrm{kl}}(\mathrm{SO})>J_{\mathrm{kl}}(\mathrm{SS})$, implying $\Delta E(\mathrm{SO})<\Delta E(\mathrm{SS})$. The magnitude of the repulsive effect must be considerable as it overrides both the $\Delta \Delta E(\mathrm{H}-\mathrm{L})$ and the CI factors.

The sulfenate dihedral angle dependence cannot be evaluated from experimental data at the present time. However, the cyclic sulfenate 7 has recently been prepared and exhibits a long-wavelength maximum at $383 \mathrm{~nm}(\epsilon 70) .{ }^{27}$ Since the compound incorporates $-\mathrm{S}-\mathrm{O}$ - in a five-membered ring, the weak low-energy transition offers a tempting analogy to the behavior of disulfides with reduced $\theta$. It seems more likely, however, that the absorption of 7 is due to conjugation with the near coplanar phenyl group. A comparable bathochromic shift

$t-\mathrm{Bu}-\mathrm{S}-\mathrm{O}-\mathrm{Et}$

7
$\lambda_{\text {max }}$ :
$383^{27}$
$266^{44}$

$R-S-S-R$
$\lambda_{\text {max }}$ :
$320^{43}$
$230-250 \mathrm{~nm}$
is observed for diphenyl disulfide, ${ }^{43}$ a conformationally flexible molecule, relative to acyclic disulfides. ${ }^{38.44-47}$

The question of degenerate excitations for the sulfenate functionality is likewise still open. Comparison of the published spectrum of $t$-BuSOE $t^{44}$ with traces for both acyclic and cyclic disulfides ${ }^{38,44-46}$ indicates that although the sulfenate longwavelength envelope ( $\lambda_{\max } 266 \mathrm{~nm}$ ) may possess a slightly narrower half-width than those recorded for RSSR, no definitive conclusion concerning the existence of overlapping bands can be drawn. In this connection Bergson has speculated on the appearance of two nondegenerate transitions for unsymmetrical molecules of type 2 at a dihedral angle of $90^{\circ} .{ }^{15 a}$ However, based on theoretical arguments and a limited comparative UV study of disulfides, diselenides, and the mixed thioselenate system, $\mathrm{RSSeR}^{\prime}$, he and his coworkers ${ }^{45.48}$ concluded that there is no observable splitting of the first absorption band due to molecular asymmetry in any of the spectra and consequently no fundamental differences between the $-\mathrm{S}-\mathrm{S}-,-\mathrm{S}-\mathrm{Se}-$, and $-\mathrm{Se}-\mathrm{Se}-$ containing systems. ${ }^{49} \mathrm{Al}-$ though we have performed no calculations for the selenium derivatives, the first ionization potentials for the series $\mathrm{R}_{2} \mathrm{O}$, $\mathrm{R}_{2} \mathrm{~S}$, and $\mathrm{R}_{2} \mathrm{Se}\left(\mathrm{R}=\mathrm{H}^{50}{ }^{5} 12.62,10.47\right.$, and $9.93 \mathrm{eV} ; \mathrm{R}=\mathrm{CH}_{3}$ : $10.04,{ }^{51} 8.67^{51} / 8.71,{ }^{52}$ and $8.40^{52} \mathrm{eV}$, respectively) are consistent with Bergson's conclusions and the SS/SO comparisons of Figures 2 and 3. The large $S O$ difference of $1.3-2.2 \mathrm{eV}$ accounts for the interaction scheme of Figure 6. By contrast the $0.27-0.59 \mathrm{eV} \mathrm{S} / \mathrm{Se}$ split is expected to lead to an RSSeR ${ }^{\prime}$ correlation very similar to that predicted for disulfides.

The RSS and RSO/ROS Angles. In any series of -S-X - (X $=\mathrm{S}, \mathrm{O}$ ) derivatives, the change in lone pair-lone pair interaction ought to be a function of both the dihedral angle $\theta$ and the RSX or $\mathrm{R}^{\prime} \mathrm{XS}$ bond angles $\phi$. For small rings, in particular those containing three, four, and in some cases five members where the - $\mathrm{S}-\mathrm{X}$ - fragment is constrained to a common plane or nearly so, the bond angle $\phi$ might be dominant in determining changes in orbital energies as a function of ring size. Of equal interest, acyclic disulfides and sulfenates with bulky substituents might diminish steric strain by relaxing both $\phi$ and $\theta$. In order to gain some insight into the effect of bond angle variation on UV spectra, model CNDO/S calculations for planar, cis-HSSH, and cis-HSOH have been performed for $\phi$ ranging from 80 to $130^{\circ}$. Both the frontier orbital energy gap and the first absorption band behave similarly. The curves of Figures 7 a and b reveal "minima" at 103 and $106^{\circ}$ for HSSH and HSOH , respectively. CNDO/B yields precisely the same result for the MO energy differences (Figures 8 and 9). ${ }^{64}$

Thus ring contraction of hypothetical, planar disulfides and sulfenate esters is predicted to lead to red shifts in the UV. The overall result is in accord with measurements for cyclic disulfides. However for nonplanar RSSR' derivatives, both $\Delta \theta$ and $\Delta \phi$ influence the UV spectrum in the same direction. Furthermore the dihedral angle effect is clearly dominant. By reducing $\phi$ in HSSH from 105 to $90^{\circ}, \lambda_{\max }$ is predicted to increase by only 10 nm . Reduction of the dihedral angle from the


Figure 8. Molecular orbital correlation diagram for the expansion of the HSS bond angle of cis-planar HSSH from 80 to $180^{\circ}$ (CNDO/B).
"gauche" value of $90^{\circ}$ to that of the five-membered ring, $30^{\circ}$, leads to a calculated bathochromic shift for $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ of 40 nm . The problem of evaluating the relative influence of $\phi$ and $\theta$ is indicated in Table III. For every significant drop in $\phi$, a much larger fall in $\theta$ obtains. Thus the effects of $\phi$ can be unambiguously distinguished from $\theta$ only by investigating a series of disulfides with a constant dihedral angle and a variable bond angle. In principle cis-bicyclic homologues such as $\mathbf{8 , 9}$, and 10 might satisfy the criterion for $n=1,2,3$ and $m=1,2 .{ }^{53}$ The compounds are, however, not yet available.


8


9


10

Although the known cyclic disulfides are incapable of providing information on the $\phi / \theta$ problem, Table VII lists acyclic disulfide and diselenide series which may bear on the question. Thus homologous substitution of the $-\mathrm{S}-\mathrm{S}-$ and $-\mathrm{Se}-\mathrm{Se}-$ moieties leads to a hypsochromic shift of the first absorption band. Several workers have credited hyperconjugation as responsible. ${ }^{48,54}$ The lack of an observable shift from HSSH to the methyl derivative, as well as the absence of a significant change from $\mathrm{CH}_{3}$ to Et , reduces the plausibility of this proposal. Boyd ${ }^{16 a}$ interpreted the spectra as reflecting an increase in dihedral angle with enhanced steric bulk. However, since the EH calculations predict a red shift for either increasing or decreasing $\theta$ relative to $90^{\circ}$, it was concluded that the disulfide bands listed in Table VII at $<250 \mathrm{~nm}$ in fact represent the second transition, the first having been overlooked. The constancy of the extinction coefficients renders this explanation unlikely. Furthermore, it was precisely to avoid interpretative difficulties caused by overlapping of the higher energy excitations of disulfides with the first absorption band that prompted Bergson's study of the diselenides. ${ }^{45.48 \mathrm{a}}$ The longwavelength maximum for the latter occurs in a region uncontaminated by other absorption, leaving little doubt as to the assignment of the first band in the -S-S- series.

A consistent interpretation of the hypsochromic shifts in-


Figure 9. Molecular orbital correlation diagram for the expansion of the HSO and HOS bond angles of cis-planar HSOH from 80 to $180^{\circ}$ (CNDO/B).

Table VII. The UV Spectra of Acyclic Dialkyl Disulfides and Diselenides as a Function of Substituent Bulk

|  |  | $\lambda_{\text {max }}, \mathrm{nm}(\epsilon)$ |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $\mathrm{R}_{1}$ | $\mathrm{R}_{2}$ |  |  |  |

${ }^{a}$ Footnote $l$ (Table III). ${ }^{b}$ Reference 47b. ${ }^{c}$ Reference 38. ${ }^{d}$ Reference 46a. ${ }^{e}$ Reference 47a. ${ }^{f}$ Reference 44. ${ }^{g}$ Footnote $t$ (Table III). ${ }^{h}$ Reference 45. ${ }^{i}$ Reference 48a.
duced by homologation is that increasing steric bulk causes a relaxation of the SSC and SeSeC bond angles and perhaps the dihedral angle as well. In order to learn if the speculations evident from Figure 7a are general, the UV spectra of $\mathrm{CH}_{3} \mathrm{SSCH}_{3}$ have been calculated for fixed dihedral angles of 90 and $110^{\circ}$ and variable SSC bond angles $\left(102^{\circ} \rightarrow 120^{\circ}\right)$; cf. Figure 7 c . A small blue shift obtains with an increase in the SSC angle up to $110^{\circ}$. The order of magnitude of the calculated shift matches the observed displacement nicely.

The operation of a bond angle distortion of the type described cannot be unambiguously demonstrated at this time. Nonetheless the relative importance of angle bending vs. expansion of the dihedral angle for disulfides and diselenides is possibly indicated by the following. The potential barriers for rotation about the $-\mathrm{O}-\mathrm{O}$ - bond in HOOH are 1.1 and 7.0

Table VIII. CNDO/B Optimized Geometries for Three- and Four-Membered Ring Disulfides and Sulfenate Esters ${ }^{a}$ (bond lengtlis, $\mathcal{A}$; bond angles, deg)

|  |  | X | $r_{\text {SX }}$ | ${ }^{\text {SC }}$ | $r^{\mathrm{XC}}$ | ${ }^{\text {CC }}$ | ${ }^{2} \mathrm{CH}$ | $\begin{aligned} & \angle S C X \\ & (\mathrm{SCC}) \end{aligned}$ | $\begin{aligned} & \angle X C S \\ & (X C C) \end{aligned}$ | LCSX | LSCH | $\angle \mathrm{XCH}$ | LHCH |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 11 | S | 2.103 | 1.795 |  |  | 1.094 | 71.7 | 71.7 | 54.1 | 118.7 | 118.7 | 107.3 |
| $\mathrm{H}^{*} \mathrm{X}$ | 13 | 0 | 1.691 | 1.774 | 1.290 |  | 1.101 | 64.8 | 64.8 | 43.6 | 120.3 | 115.3 | 112.4 |
| $1$ | 12 | S | 2.146 | 1.835 |  | 1.564 | 1.105 | 99.1 | 99.1 | 80.9 | 114.2 | 114.2 | 104.6 |
| 1 - X | 14 | 0 | 1.669 | $1.81{ }^{\text {b }}$ | $1.43{ }^{\text {b }}$ | $1.581{ }^{\text {b }}$ | $1.105^{\text {b }}$ | 89.3 | 92.7 | 100.6 | 117.9 | 109.8 | $104.8{ }^{\text {b }}$ |

${ }^{a}$ Tle planar four-membered rings are calculated to be more stable tlan puckered conformations. ${ }^{b}$ Assumed values.


Figure 10. CNDO/B frontier orbital energies for selected disulfides and sulfenate esters. The dimethyl derivatives correspond to the cis and gauche staggered conformers. respectively. CNDO/S yields the same result qualitatively.
$\mathrm{kcal} / \mathrm{mol}$ for the trans and cis pathways, respectively ${ }^{55} \mathrm{Mi}$ crowave measurements ${ }^{56}$ and ab initio calculations ${ }^{17 \mathrm{~b}}$ lead to the conclusion that both HSSH barriers are considerably higher than the HOOH cis barrier. By contrast normal coordinate analysis ${ }^{57}$ of $\mathrm{CH}_{3} \mathrm{OOCH}_{3}\left(\nu_{\mathrm{COO}}\right.$, sym $=448$, antisym $\left.=309 \mathrm{~cm}^{-1}\right), \mathrm{CH}_{3} \mathrm{SSCH}_{3}(\nu \mathrm{CSS}$, sym $=240$, antisym $=274$ $\left.\mathrm{cm}^{-1}\right)$, and $\mathrm{CH}_{3} \mathrm{SeSeCH}_{3}\left(\nu_{\mathrm{CSeSe}}\right.$, sym $=187$, antisym $=193$ $\mathrm{cm}^{-1}$ ) evinces a reduction in the force constant for angle bending along this series. ${ }^{58}$ Thus it appears as though XXC angle bending can compete more favorably with alterations in the dihedral angle as we progress from oxygen to sulfur to selenium. In the final analysis, a thorough evaluation of the hypsochromic shifts found in Table VII can be made only when the molecular structures of the bulky derivatives such as the di-tert-butyl cases are known.

Small Ring Geometries. In order to complete our analysis, we have optimized the geometries of the hypothetical threeand four-membered rings 11-14. The resulting bond lengths and bond angles are given in Table VIII.

11

12

13

14

15

16

Of necessity the three-membered rings are planar. The CNDO/B parametrization predicts that both four-membered rings $\mathbf{1 2}$ and 14 are likewise flat. In the gas phase both thietane ${ }^{61}(15)$ and oxetane ${ }^{62}(16)$ possess folded conformations interconverting through the planar form over an energy barrier of less than $1 \mathrm{kcal} / \mathrm{mol}$. If capable of existence, $\mathbf{1 2}$ and $\mathbf{1 4}$ can
be expected to exhibit similar equilibrium behavior. The inability of a semiempirical calculation to discriminate faultlessly between conformations of vanishingly small energy differences is therefore not surprising.

Cis-Disulfides and Sulfenate Esters. The behavior of the frontier orbitals for the rings 11-14 and the cis-dimethyl RSXR derivatives are indicated in Figure 10. Several points emerge from the calculations. In agreement with the results for the HSXH species (Figure 7), a lower HOMO-LUMO energy gap with decreasing ring size ( $\phi$ ) is predicted for each series. Furthermore, for the small ring species the parallel with the acyclic models extends to the greater sulfenate $\Delta E$ (HOMO-LUMO) compared to disulfides, the relative purity of disulfide/sulfenate UV transitions, and for the four-ring the red shifted -S-O- first absorption band relative to -S-S- (Table IX). The single exception to the inverse relationship between $\triangle E$ (HOMO-LUMO) and the longwavelength $\lambda_{\max }$ is the three-ring pair 11 and 13 . The latter oxathiirane is blue shifted by 26 nm relative to the hypothetical dithiirane 11.

The predicted excitations for the small rings are essentially of the same type as found for the acyclic gauche-dimethyl derivatives. Differences arise as a result of the topology of the cycles. Since the structures are planar, two of the highest energy MO's are thus the symmetric and antisymmetric $n_{p}$ combinations, $\pi_{+}$and $\pi_{-}$(cf. Figures 1 and 11). The $\Delta E(\mathrm{HOMO}-L U M O) / \lambda_{\max }$ reversal for 11 and 13 finds its origin here. Unlike dithiacyclobutane 12 and acyclic disulfide conformers, the $\pi$-type HOMO of the symmetric dithiirane 11 does not incorporate antibonding hydrocarbon fragment contributions. This translates into a calculated first absorption band that unlike all other -S-S- derivatives treated by CNDO/S, but similar to the sulfenate esters, is nearly configurationally pure. The relative disulfide/sulfenate HOMOLUMO energy gap in such a situation qualitatively reflects the calculated $\lambda_{\text {max' }}$ 's. Furthermore the three- and four-membered ring $\sigma$ electrons are delocalized more extensively than in the acycles. The Walsh-type $\mathrm{e}_{\mathrm{a}}$, $\mathrm{e}_{\mathrm{s}}$, and $\mathrm{e}_{\mathrm{u}}$ MO's ${ }^{32.63}$ are clearly evident. Nonetheless the first and second transitions can be catalogued as $\mathrm{n}_{\pi} \rightarrow \mathrm{S}-\mathrm{X}, \mathrm{S}-\mathrm{C} \sigma^{*}$ (cf. Table IX) completely analogous to $\mathrm{CH}_{3} \mathrm{SXCH}_{3}$. By comparison with the latter, however, the LUMO's contain somewhat less of a contribution from the sulfur 3 d's (i.e. 11 ( $28 \%$ ), $12(45 \%), \mathrm{CH}_{3} \mathrm{SSCH}_{3}$ ( $55 \%$ ); 13 (36\%), 14 ( $48 \%$ ), $\mathrm{CH}_{3} \mathrm{SOCH}_{3}(56 \%)$ ). This may be attributed to the more effective heavy-atom orbital mixing in the rings expressed by $e_{a}{ }^{*}$ and $e_{s}{ }^{*}$.

An interesting feature of the $\pi$ HOMO eigenfunctions is the finding that the oxathiirane $\mathbf{1 3}$ frontier orbital is best characterized as a nearly pure sulfur 3 p orbital ( $87 \%$ ) in contrast to the greater $\mathrm{p}-\pi$ mixing found for the oxathiacyclobutane 14 ( $59 \% 3 \mathrm{p}$ ) and cis $-\mathrm{CH}_{3} \mathrm{SOCH}_{3}(61 \% 3 \mathrm{p}$ ). The CNDO/S parametrization yields a nearly identical set of AO coefficients. A possible cause is the CNDO/B result that the $\mathrm{S}-\mathrm{O}$ bond length is longest in the three-membered ring.

The calculated first absorption band in the UV spectrum of

Table IX. Calculated Electronic Spectra for Three- and Four-Membered Ring Disulfides and Sulfenate Esters ( $\lambda_{\text {max }}, \mathrm{nm}$ ); CNDO/S$C L^{a, b}$

| 11 | 13 | 12 | 14 |
| :---: | :---: | :---: | :---: |
| $\begin{aligned} 422 & \left({ }^{\prime} \mathrm{B}_{1}\right) \\ \mathrm{H} & \rightarrow \mathrm{~L}(0.97) \end{aligned}$ | $\begin{array}{r} 396 \\ H \end{array} \rightarrow L(0.99)$ | $\begin{gathered} 346\left({ }^{\prime} \mathrm{B}_{2}\right) \\ \mathrm{H} \rightarrow \mathrm{~L}(0.94) \\ \mathrm{H}-1 \rightarrow \mathrm{~L}+1(0.04) \end{gathered}$ | $\begin{array}{r} 368 \\ H \end{array} \rightarrow L(0.98)$ |
| $\begin{aligned} 289 & \left({ }^{\prime} \mathrm{A}_{2}\right) \\ \mathrm{H}-1 & \rightarrow \mathrm{~L}(0.53) \\ \mathrm{H} & \rightarrow \mathrm{~L}+1(0.45) \end{aligned}$ | $\stackrel{242}{\mathrm{H}} \rightarrow \mathrm{~L}+1(0.98)$ | $\begin{array}{rl} 276 & \left({ }^{\prime} \mathrm{A}_{2}\right) \\ \mathrm{H} & \mathrm{~L}+1(0.76) \\ \mathrm{H}-1 & \rightarrow \mathrm{~L}(0.19) \\ \mathrm{H}-1 & \rightarrow \mathrm{~L}+2(0.03) \end{array}$ | $\begin{array}{r} 234 \\ \mathrm{H} \end{array} \rightarrow \mathrm{~L}+1(0.98)$ |
| $\begin{aligned} & 229\left({ }^{\prime} \mathrm{A}_{2}\right) \\ & \mathrm{H}-1 \rightarrow \mathrm{~L}(0.37) \\ & \mathrm{H} \rightarrow \mathrm{~L}+1(0.45) \\ & \mathrm{H} \rightarrow \mathrm{~L}+6(0.11) \\ & \mathrm{H}-1 \rightarrow \mathrm{~L}+2(0.70) \end{aligned}$ | $\begin{aligned} & 224 \\ & \mathrm{H}-2 \rightarrow \mathrm{~L}(0.95) \end{aligned}$ | $\begin{gathered} 224\left({ }^{(1} B_{1}\right) \\ H \rightarrow L+2(0.80) \\ H-1 \rightarrow L+1(0.14) \end{gathered}$ | $\stackrel{192}{\mathrm{H}} \rightarrow \mathrm{~L}+2(0.98)$ |
| $\begin{gathered} 225\left({ }^{\prime} \mathrm{B}_{1}\right) \\ \mathrm{H} \rightarrow \mathrm{~L}+2(0.82) \\ \mathrm{H}-1 \rightarrow \mathrm{~L}+1(0.13) \\ \mathrm{H}-1 \rightarrow \mathrm{~L}+6(0.05) \end{gathered}$ | $\begin{aligned} & 201 \\ & \mathrm{H}-1 \rightarrow \mathrm{~L}(0.89) \\ & \mathrm{H}-1 \rightarrow \mathrm{~L}+1(0.08) \end{aligned}$ | $\begin{aligned} & 213\left({ }^{1} \mathrm{~A}_{2}\right) \\ & \mathrm{H}-1 \xrightarrow{\rightarrow} \mathrm{~L}(0.41) \\ & \mathrm{H} \rightarrow \mathrm{~L}+6(0.39) \end{aligned}$ |  |
| $\begin{aligned} 192 & \left({ }^{1} \mathrm{~B}_{2}\right) \\ \mathrm{H} & \rightarrow \mathrm{~L}+5(0.68) \\ \mathrm{H} & \rightarrow \mathrm{~L}+3(0.21) \\ \mathrm{H}-1 & \rightarrow \mathrm{~L}+7(0.10) \end{aligned}$ | $\begin{array}{r} 186 \\ H \end{array} \rightarrow L+2(0.99)$ | $\begin{gathered} 196\left({ }^{1} B_{2}\right) \\ H \rightarrow L+4(0.89) \\ H-1 \rightarrow L+7(0.10) \end{gathered}$ |  |

[^2]13 ( $\lambda_{\max } 396 \mathrm{~nm}$ ) arises from a single electron transition between the frontier orbitals (Table IX). Although it may be fortuitous, this transition is in remarkable accord with the $390-\mathrm{nm}$ absorption recorded for a low-temperature, matrixisolated species assigned the oxathiirane structure. ${ }^{14}$ Finally we note that the prominance of the sulfur 3 p contribution to the HOMO of $\mathbf{1 3}$ has been implicated in an unusual orbital correlation for the ring closure to and rupture of oxathiiranes. ${ }^{12 a}$

## Conclusion

RSSR and RSOR possess adjacent divalent lone pair atoms, exhibit comparable overall molecular geometries, and are predicted to undergo conformational transformation with qualitatively similar energy requirements. A more detailed comparison of both single configuration molecular orbitals and configuration mixing reveals that further resemblance, however, is superficial. The predicted differences should be discernible by techniques such as UV and photoelectron spectroscopy. The limited available data allowing a comparison of disulfide and sulfenate ester functions support the calculations, although more information is needed.

From a theoretical viewpoint the introduction of strong asymmetry into dynamic systems of all kinds can be expected to have similar consequences with the result that orbital correlations derived from symmetric models are generally not preserved. The deviations should be most easily detected in the conformational and mechanistic behavior of isoelectronic systems differing either in the type or in the position of hetero substitution. ${ }^{12 a, c}$ Among the factors which could be singled out as a qualitative guide in this regard, the displacement of nodal surfaces in the high-lying MO's during molecular deformation may prove to be of utilitarian value.


Figure 11. The $\pi$ HOMO for cyclic disulfides 11 and 12 and cyclic sulfenate esters 13 and $\mathbf{1 4}$ (top view). Circle diameters are proportional to the square of the contributing atomic orbital coefficients.

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